

**WHOLESALE ELECTRICITY SPOT MARKET
RULES CHANGE COMMITTEE**

RESOLUTION NO. 2014-08

**Proposed Amendments to the Various WESM and Retail Market Manuals
relative to the Investigation of Infractions Relating to Meter Data and
Metering Facilities**

WHEREAS, on 01 April 2014, the RCC received from the Dispute Resolution Administrator (DRA) the Proposal for amendments to the WESM and Retail Market Manuals on Metering Standards and Procedures; WESM Manual on Registration, Suspension and De-Registration Criteria and Procedures; and Retail Market Manuals on Registration Criteria and Procedures and Market Transaction Procedures;

WHEREAS, the proposal aims to delete the provisions in the WESM and Retail Market Manuals on Metering Standards and Procedures stating that the DRA is responsible for investigating infractions and tampering of metering facilities or installations, as said task was found to be inconsistent with the duties and obligations of the DRA under the WESM Rules and the WESM Dispute Resolution Market Manual (DRMM), (i.e. to administer and ensure the effective implementation and operation of the WESM dispute resolution process).

WHEREAS, the proposal likewise recommends that the DRMM be added as a reference under the relevant sections in the WESM Manual on Registration, Suspension and De-Registration Criteria and Procedures, and the Retail Market Manuals on Registration Criteria and Procedures and Market Transaction Procedures, to incorporate the dispute resolution mechanism.

WHEREAS, on 02 April 2014, the RCC convened for its 86th Regular Meeting to deliberate upon the proposed changes to the subject WESM and Retail Market Manuals;

WHEREAS, during the same meeting, the RCC gave due course on the subject proposed amendments and approved the posting of the proposal as presented in the WESM public information website in order to solicit comments from market participants and interested parties;

WHEREAS, the proposal was posted in the public information website on 03 April 2014 and the notification e-mail requesting comments from members and interested parties was issued on the same day;

WHEREAS, the proposal was taken up again by the RCC on 07 May 2014 during its 87th Regular Meeting based on the comment and proposal received from

the PEMC – Billing, Settlement and Metering Department (BSMD) on the proposed amendments to the WESM and Retail Market Manuals on Metering Standards and Procedures;

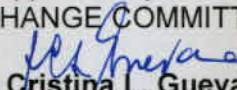
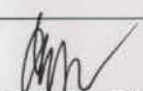


WHEREAS, during the same meeting, the RCC approved the proposal of PEMC – BSMD to replace the term ‘Dispute Resolution Administrator’ with ‘Enforcement and Compliance Officer’ to be consistent with section 7.2.2.2. of the WESM Rules, instead of deleting the entire sub-sections in the Metering Standards and Procedures Manuals referencing the DRA as the one to undertake such task;

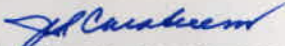




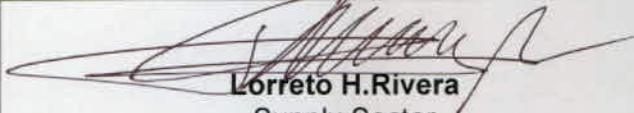
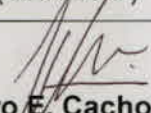
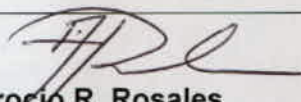
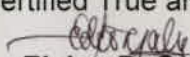
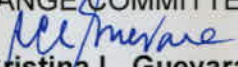
NOW THEREFORE, we, the undersigned and in behalf of the sector we represent, hereby resolve as follows:

RESOLVED, that the Proposed Amendments to the WESM and Retail Market Manuals on Metering Standards and Procedures; WESM Manual on Registration, Suspension and De-Registration Criteria and Procedures; and Retail Market Manuals on Registration Criteria and Procedures and Market Transaction Procedures, as revised are hereby adopted and approved;

RESOLVED FURTHER, that the attached Proposed Amendments to the subject Manuals (Annex A) are hereby endorsed to the PEM Board for its approval.

Done this 07 May 2014, Pasig City.

Approved by: RULES CHANGE COMMITTEE  Rowena Cristina L. Guevara Chairperson University of the Philippines (UP)	
Members:	
 Concepcion I. Tanglao Independent	 Francisco L.R. Castro Jr. Independent Tensaiken Consulting
Maila Lourdes G. de Castro Independent	 Jose Ferlino P. Raymundo Generation Sector SMC Global

<p>Theo Cruz Sunico Generation Sector 1590 Energy Corporation (1590 EC)</p>	<p> Joselyn D. Carabuena Generation Sector Power Sector Assets and Liabilities Management Corporation (PSALM)</p>
<p> Gilbert A. Pagobo Distribution Sector (PDU) Mactan Electric Company (MECO)</p>	<p> Ciprinilo C. Meneses Distribution Sector (PDU) Manila Electric Company (MERALCO)</p>
<p> Jose P. Santos Distribution Sector (EC) Ilocos Norte Electric Cooperative, Inc. (INEC)</p>	<p> Sulpicio C. Lagarde Jr. Distribution Sector (EC) Central Negros Electric Cooperative, Inc. (CENECO)</p>
<p> Lorrreto H. Rivera Supply Sector Team Energy</p>	<p> Isidro E. Cacho Jr. Market Operator Philippine Electricity Market Corporation (PEMC).</p>
<p> Ambrocio R. Rosales Transmission Sector National Grid Corporation of the Philippines (NGCP)</p>	
	<p>Certified True and Correct:  Elaine D. Gonzales RCC Secretary PEMC</p>
<p>Approved by: RULES CHANGE COMMITTEE  Rowena Cristina L. Guevara Chairperson University of the Philippines (UP)</p>	

Proposed Amendments to the Various WESM and Retail Market Manuals relative to the Investigation of Infractions Relating to Meter Data and Metering Facilities

WESM Manual on Metering Standards and Procedures							
Section	Original Provision	Proposed Amendments				RCC-Approved Proposed Amendments	
		Initial Proposed Amendment (DRA)	Rationale	Proposal (PEMC-BSMD)	Rationale		RCC Remarks
1.3.4. Responsibilities	1.3.4. The Dispute Resolution Administrator shall be responsible for the investigations on any infractions of the Trading Participants/Metering Services Provider or in cases where disputes which may arise involving meter data or tampering of any metering facilities that is detrimental to the integrity of the meter data; xx	1.3.4. The Dispute Resolution Administrator shall be responsible for the investigations on any infractions of the Trading Participants/Metering Services Provider or in cases where disputes which may arise involving meter data or tampering of any metering facilities that is detrimental to the integrity of the meter data; xx	The conduct of investigations is inconsistent with the duty and obligations of the DRA as provided in the DRMM. The DRA is not involved in the resolution of disputes, but only administer those who are (i.e., Mediators, Arbitrators)	xx 1.3.4. The <u>Enforcement and Compliance Officer</u> shall be responsible for the investigations on any infractions of the Trading Participants/Metering Services Provider or in cases where disputes which may arise involving meter data or tampering of any metering facilities that is detrimental to the integrity of the meter data; xx	To be consistent with sub-section 7.2.2.2. prescribed in the WESM Rules.	xx 1.3.4. The <u>Enforcement and Compliance Officer</u> shall be responsible for the investigations on any infractions of the Trading Participants/Metering Services Provider or in cases where disputes which may arise involving meter data or tampering of any metering facilities that is detrimental to the integrity of the meter data; xx	RCC approved to adopt PEMC – BSMD's proposal.

Retail Market Manual on Metering Standards and Procedures							
Section	Original Provision	Proposed Amendments				RCC-Approved Proposed Amendments	
		Initial Proposed Amendment (DRA)	Rationale	Proposal (PEMC-BSMD)	Rationale		RCC Remarks
1.4.1. Compliance and Implementation	<p>1.4.1. Compliance and Implementation</p> <p>xx</p> <p>e) The <i>Dispute Resolution Administrator</i> shall be responsible for the investigation of any infraction by a <i>Retail Metering Services Provider</i> of a <i>Contestable Customer</i>, cases where disputes involved <i>metering data</i>, and tampering of any <i>metering installation</i> that is detrimental to the integrity of the <i>metering data</i>;</p> <p>xx</p>	<p>1.4.1. Compliance and Implementation</p> <p>xx</p> <p>e) The <i>Dispute Resolution Administrator</i> shall be responsible for the investigation of any infraction by a <i>Retail Metering Services Provider</i> of a <i>Contestable Customer</i>, cases where disputes involved <i>metering data</i>, and tampering of any <i>metering installation</i> that is detrimental to the integrity of the <i>metering data</i>;</p> <p>xx</p>	<p>The conduct of investigations is inconsistent with the duty and obligations of the DRA as provided in the DRMM. The DRA is not involved in the <i>resolution</i> of disputes, but only administer those who are (i.e., Mediators, Arbitrators)</p>	<p>1.4.1. Compliance and Implementation</p> <p>xx</p> <p>e) The <i>Enforcement and Compliance Officer</i> shall be responsible for the investigation of any infraction by a <i>Retail Metering Services Provider</i> of a <i>Contestable Customer</i>, cases where disputes involved <i>metering data</i>, and tampering of any <i>metering installation</i> that is detrimental to the integrity of the <i>metering data</i>;</p> <p>xx</p>	<p>To be consistent with sub-section 7.2.2.2. prescribed in the WESM Rules.</p>	<p>1.4.1. Compliance and Implementation</p> <p>xx</p> <p>e) The <i>Enforcement and Compliance Officer</i> shall be responsible for the investigation of any infraction by a <i>Retail Metering Services Provider</i> of a <i>Contestable Customer</i>, cases where disputes involved <i>metering data</i>, and tampering of any <i>metering installation</i> that is detrimental to the integrity of the <i>metering data</i>;</p> <p>xx</p>	<p>RCC approved to adopt PEMC – BSMD's proposal.</p>

WESM Manual on Registration, Suspension and De-Registration Criteria and Procedures							
Section	Original Provision	Proposed Amendments				RCC-Approved Proposed Amendments	
		Initial Proposed Amendment (DRA)	Rationale	Proposal (PEMC-BSMD)	Rationale		RCC Remarks
3.3. References	<p>3.3. References</p> <p>This Manual should be read in association with:</p> <p>xx</p> <p>i) WESM Manual: Market Surveillance, Enforcement and Compliance Manual</p> <p>j) WESM Manual: Metering Standards</p> <p>xx</p>	<p>3.3. References</p> <p>This Manual should be read in association with:</p> <p>xx</p> <p>i) WESM Manual: Market Surveillance, Enforcement and Compliance Manual</p> <p>j) WESM Manual: Metering Standards</p> <p>k) WESM Dispute Resolution Market Manual</p>	<p>To inform the Trading Participants and Metering Services Providers of the dispute resolution mechanism of the WESM.</p>			<p>3.3. References</p> <p>This Manual should be read in association with:</p> <p>xx</p> <p>i) WESM Manual: Market Surveillance, Enforcement and Compliance Manual</p> <p>j) WESM Manual: Metering Standards</p> <p>k) WESM Dispute Resolution Market Manual</p>	<p>Approved as proposed</p>
		xx				xx	

Retail Market Manual on Registration Criteria and Procedures							
Section	Original Provision	Proposed Amendments				RCC-Approved Proposed Amendments	
		Initial Proposed Amendment (DRA)	Rationale	Proposal (PEMC-BSMD)	Rationale		RCC Remarks
2.3. References	<p>2.3. References</p> <p>This Manual should be read in association with:</p> <p>xx</p> <p>m) WESM Manual: Billing and Settlements</p> <p>n) Retail Metering Manual</p> <p>xx</p>	<p>2.3. References</p> <p>This Manual should be read in association with:</p> <p>xx</p> <p>m) WESM Manual: Billing and Settlements</p> <p>n) Retail Metering Manual</p> <p><u>o) WESM Dispute Resolution Market Manual</u></p> <p>xx</p>	<p>To inform the Contestable Customers, Suppliers and Retail Metering Service Providers of the dispute resolution mechanism of the Retail Market.</p>			<p>2.3. References</p> <p>This Manual should be read in association with:</p> <p>xx</p> <p>m) WESM Manual: Billing and Settlements</p> <p>n) Retail Metering Manual</p> <p><u>o) WESM Dispute Resolution Market Manual</u></p> <p>xx</p>	<p>Approved as proposed</p>

Retail Market Manual on Market Transactions Procedures							
Section	Original Provision	Proposed Amendments				RCC-Approved Proposed Amendments	
		Initial Proposed Amendment (DRA)	Rationale	Proposal (PEMC-BSMD)	Rationale		RCC Remarks
3.2. Definitions, References and Interpretations	3.2. References This Manual should be read in association with: xx e) WESM Manual on Registration, Suspension and De-Registration Criteria and Procedures f) Retail Manual: Registration Criteria and Procedures	3.2. References This Manual should be read in association with: xx e) WESM Manual on Registration, Suspension and De-Registration Criteria and Procedures f) Retail Manual: Registration Criteria and Procedures g) <u>WESM Dispute Resolution Market Manual</u> xx	To incorporate the WESM dispute resolution mechanism to the Retail Market.			3.2. References This Manual should be read in association with: xx e) WESM Manual on Registration, Suspension and De-Registration Criteria and Procedures f) Retail Manual: Registration Criteria and Procedures g) <u>WESM Dispute Resolution Market Manual</u> xx	Approved as proposed
	xx						