



Covered Period	26 AUGUST – 25 SEPTEMBER 2020
Total No. of Participants Monitored	144 Trading Participants
Total No. of Facilities Monitored	162 Power Plants
Total No. of Intervals Monitored	187,848 Intervals

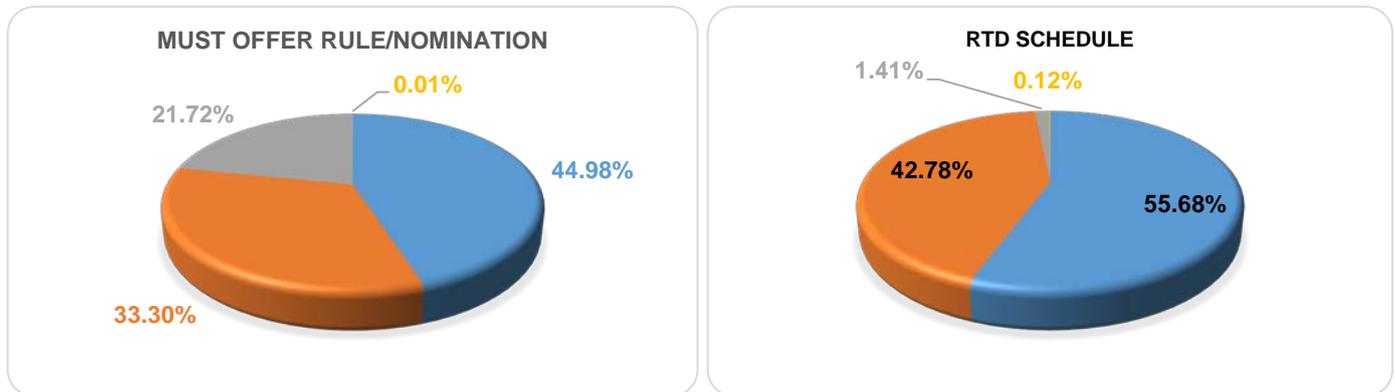
RECOMMENDATIONS AND ACTIONS REQUESTED

Recommendations	Intervals	%	No. of Trading Participants	No. of Plants/Facility	For Details
COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION					
For Closure	187,837	100.00%	144	162	Annex A-1
For Investigation (Issuance of RFI)	0	0.00%	2	2	Annex A-2
Total	187,837	100.00%			
COMPLIANCE WITH THE RTD SCHEDULE (RTD)					
For Closure	187,618	99.88%	144	162	Annex B-1
For Investigation (Issuance of RFI)	230	0.12%	5	5	Annex B-2
Total	187,848	100.00%			

The details and reasons for recommended closure and for issuance of RFIs are likewise found in **Annexes A-E**.

BASIS FOR RECOMMENDATIONS

SUMMARY FINDINGS – COMPLIANCE WITH THE MUST OFFER RULE AND RTD SCHEDULE



Legend: ■ Compliant ■ Initial Monitoring Justified ■ Initially Flagged NC But Justified ■ Initially Flagged NC-For Investigation

Summary Findings	Compliant	Initial Monitoring - Justified	Initially Flagged Non-Compliance But Justified (Post)	Initially Flagged Non-Compliance – FOR INVESTIGATION	Grand Total
COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION					
Intervals, #	84,485	62,548	40,804	11	187,848
Percentage	44.98%	33.30%	21.72%	0.01%	100.00%
COMPLIANCE WITH THE RTD SCHEDULE					
Intervals, #	104,599	80,365	2,654	230	187,848
Percentage	55.68%	42.78%	1.41%	0.12%	100.00%

[Signature]
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Enforcement and Compliance Office Head