



Covered Period	26 SEPTEMBER – 25 OCTOBER 2020
Total No. of Participants Monitored	134 Trading Participants
Total No. of Facilities Monitored	162 Power Plants
Total No. of Intervals Monitored	182,160 Intervals

RECOMMENDATIONS AND ACTIONS REQUESTED

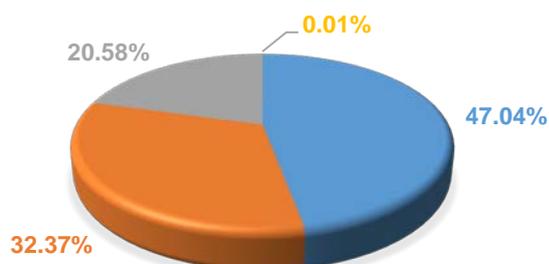
Recommendations	Intervals	%	No. of Trading Participants	No. of Plants/Facility	For Details
COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION					
For Closure	182,139	99.99%	134	162	Annex A-1
For Investigation (Issuance of RFI)	21	0.01%	3	3	Annex A-2
Total	182,160	100.00%			
COMPLIANCE WITH THE RTD SCHEDULE (RTD)					
For Closure	181,926	99.87%	134	162	Annex B-1
For Investigation (Issuance of RFI)	234	0.13%	5	5	Annex B-2
Total	182,160	100.00%			

The details and reasons for recommended closure and for issuance of RFIs are likewise found in **Annexes A-E**.

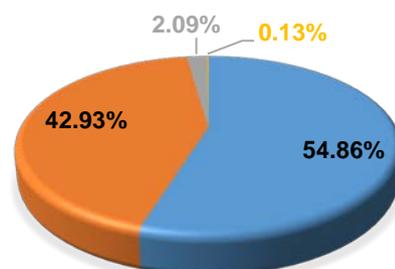
BASIS FOR RECOMMENDATIONS

SUMMARY FINDINGS – COMPLIANCE WITH THE MUST OFFER RULE AND RTD SCHEDULE

MUST OFFER RULE/NOMINATION



RTD SCHEDULE



Legend: ■ Compliant ■ Initial Monitoring Justified ■ Initially Flagged NC But Justified ■ Initially Flagged NC-For Investigation

Summary Findings	Compliant	Initial Monitoring - Justified	Initially Flagged Non-Compliance But Justified (Post)	Initially Flagged Non-Compliance – FOR INVESTIGATION	Grand Total
COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION					
Intervals, #	85,693	58,963	37,483	21	182,160
Percentage	47.04%	32.37%	20.58%	0.01%	100.00%
COMPLIANCE WITH THE RTD SCHEDULE					
Intervals, #	99,927	78,194	3,805	234	182,160
Percentage	54.86%	42.93%	2.09%	0.13%	100.00%

[Signature]
MA. HAZEL M. GUBATON-LOPEZ
 Enforcement and Compliance Office Head