



Covered Period	26 NOVEMBER – 25 DECEMBER 2020
Total No. of Participants Monitored	135 Trading Participants
Total No. of Facilities Monitored	163 Power Plants
Total No. of Intervals Monitored	182,880 Intervals

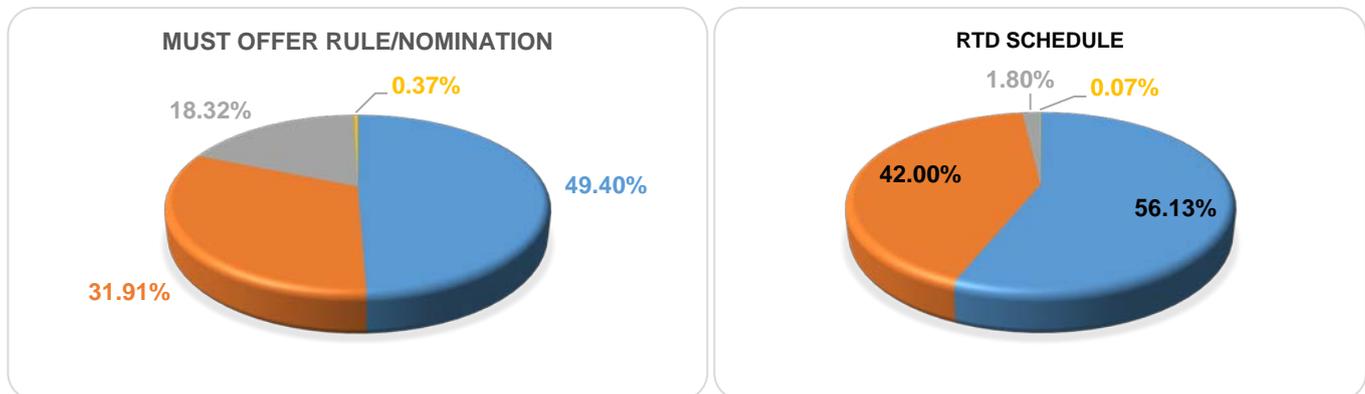
RECOMMENDATIONS AND ACTIONS REQUESTED

Recommendations	Intervals	%	No. of Trading Participants	No. of Plants/Facility	For Details
COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION					
For Closure	182,202	99.63%	135	163	Annex A-1
For Investigation (Issuance of RFI)	678	0.37%	1	1	Annex A-2
Total	182,880	100.00%			
COMPLIANCE WITH THE RTD SCHEDULE (RTD)					
For Closure	182,761	99.93%	135	163	Annex B-1
For Investigation (Issuance of RFI)	119	0.07%	5	5	Annex B-2
Total	182,880	100.00%			

The details and reasons for recommended closure and for issuance of RFIs are likewise found in **Annexes A-E**.

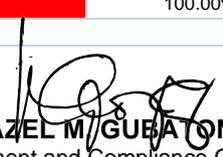
BASIS FOR RECOMMENDATIONS

SUMMARY FINDINGS – COMPLIANCE WITH THE MUST OFFER RULE AND RTD SCHEDULE



Legend: ■ Compliant ■ Initial Monitoring Justified ■ Initially Flagged NC But Justified ■ Initially Flagged NC-For Investigation

Summary Findings	Compliant	Initial Monitoring - Justified	Initially Flagged Non-Compliance But Justified (Post)	Initially Flagged Non-Compliance – FOR INVESTIGATION	Grand Total
COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION					
Intervals, #	90,334	58,362	33,506	678	182,880
Percentage	49.40%	31.91%	18.32%	0.37%	100.00%
COMPLIANCE WITH THE RTD SCHEDULE					
Intervals, #	102,658	76,809	3,294	119	182,880
Percentage	56.13%	42.00%	1.80%	0.07%	100.00%


MA. HAZEL M. GUBATON-LOPEZ
Enforcement and Compliance Office Head