



**WHOLESALE ELECTRICITY SPOT MARKET
RULES CHANGE COMMITTEE**

RESOLUTION NO. 2019-05

**Proposed Amendments to the WESM Manual on Registration, Suspension, and
De-Registration Criteria and Procedures**

WHEREAS, the Independent Electricity Market Operator of the Philippines (IEMOP) submitted to the Rules Change Committee (RCC) on 12 February 2019 three (3) separate proposals for amendments to the WESM Manual on Registration, Suspension, and De-Registration Criteria and Procedures also known as the “WESM Registration Manual;”

WHEREAS, the first proposal for amendments to the WESM Registration Manual involves the inclusion of additional modelling requirements and procedures when registering a new facility in the WESM or when registering as an ancillary services provider;

WHEREAS, the first proposal can be summarized as follows: (i) inclusion of network service providers and metering services providers in the consultations for the modelling of new facilities; (ii) requirement for generation companies to inform the Market Operator (MO) of the arrangements for its station use or house load to allow for proper modelling of the said load; and (iii) provision of procedures for the addition of the facilities that will be traded separately from existing generating units but under the registered generation company;

WHEREAS, the second proposal intends to harmonize the relevant market manual provisions with the WESM Rules to include changing the basis for the registration process timelines (i.e. use of working days instead of business days) and generalizing the data security approach for participant access to the market systems;

WHEREAS, the third and last proposal for amendments to the WESM Registration Manual clarifies that, the basis of the MO for the registered capacities of generating units in the WESM and any changes thereto shall either be the COC or certification from the Energy Regulatory Commission (ERC);

WHEREAS, the third proposal likewise reflects process improvement in terms of MO confirmation of the ERC-certified capacities of generation facilities;

WHEREAS, the proposals were presented and discussed by the IEMOP with the RCC during the latter’s 149th meeting held on 22 February 2019, and were subsequently approved

for publication in the PEMC website to solicit comments from market participants and interested parties within thirty (30) working days;

WHEREAS, on the same day, the proposals were published in the PEMC website, with the corresponding notice sent to participants inviting them to submit their comments;

WHEREAS, in response to the RCC's call for comments on the proposed amendments to the WESM Registration Manual, written submissions were received from the Department of Energy (DOE), Manila Electric Company (MERALCO), and the Technical Committee (TC);

WHEREAS, the RCC, during its 151st meeting held on 12 April 2019 and 152nd meeting held on 17 May 2019, deliberated upon the proposals, giving due course to the comments submitted by the relevant parties;

WHEREAS, the RCC, approved the proposals, as amended, adopting some of the comments of the relevant parties based on their merit;

WHEREAS, on the basis for registered capacities of generating units in the WESM, the RCC and the DOE discussed that since the MO is bound to accept any ERC issuances/certification, other than the Certificate of Compliance (COC), as supporting documents both for the registration of new facilities and for changes in registered capacities, the RCC and IEMOP agreed to revise, among others, Sections 3.3.1.1 for changes in capacities and 2.5.4.4 for new capacities;

WHEREAS, the further amendments to Section 2.5.4.4 was duly discussed and agreed upon by the RCC to be included in the RCC's approval and endorsement to the PEM Board to address the concerns raised during the deliberations;

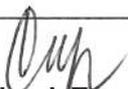
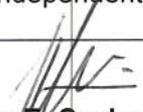
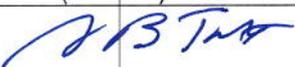
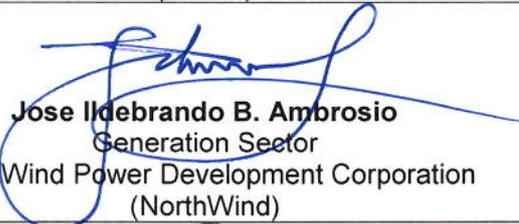
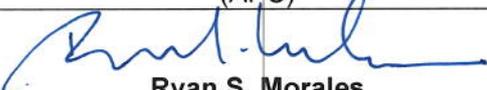
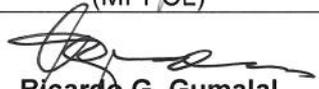
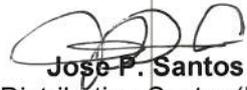
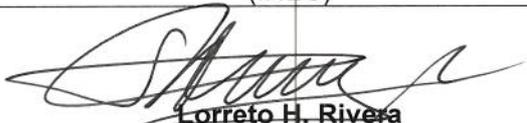
NOW THEREFORE, we, the undersigned in behalf of the sectors we represent, hereby resolve as follows:

RESOLVED, that the Proposals for Amendments to the WESM Registration Manual on the additional modelling requirements and procedures, on the harmonization with the WESM Rules, and on the clarification on the basis for registration of new capacities and for changes to these registered capacities including the additional amendments to Section 2.5.4.4, are hereby approved as amended by the RCC;

RESOLVED FURTHER, that the Proposed Amendments to the WESM Registration Manual (Annex A) is hereby endorsed to the PEM Board for approval and subsequent endorsement to the DOE.

Done this 17 May 2019, Pasig City.

M.

<p>Approved by: RULES CHANGE COMMITTEE</p>  <p>Maïla Lourdes G. de Castro Chairperson Independent</p>	
<p>Members:</p>	
 <p>Concepcion I. Tanglao Independent</p>	 <p>Francisco L.R. Castro, Jr. Independent</p>
 <p>Allan C. Nerves Independent</p>	 <p>Isidro E. Cacho, Jr. Market Operator Independent Market Operator of the Philippines (IEMOP)</p>
<p>Ambrocio R. Rosales Transmission Sector National Grid Corporation of the Philippines (NGCP)</p>	 <p>Abner B. Tolentino Generation Sector Power Sector Assets and Liabilities Management Corporation (PSALM)</p>
 <p>Jose Ildebrando B. Ambrosio Generation Sector NorthWind Power Development Corporation (NorthWind)</p>	 <p>Cherry A. Javier Generation Sector Aboitiz Power Corp. (APC)</p>
 <p>Dixie Anthony R. Banzon Generation Sector Masinloc Power Partners Co. Ltd. (MPPCL)</p>	 <p>Ryan S. Morales Alternate Member – Distribution Sector (PDU) Manila Electric Company (MERALCO)</p>
 <p>Ricardo G. Gumalal Distribution Sector (PDU) Iligan Light and Power, Inc. (ILPI)</p>	 <p>Jose P. Santos Distribution Sector (EC) Ilocos Norte Electric Cooperative, Inc. (INEC)</p>
 <p>Virgilio C. Fortich, Jr. Distribution Sector (EC) Cebu III Electric Cooperative, Inc. (CEBECO3)</p>	 <p>Lorreto H. Rivera Supply Sector TeaM (Philippines) Energy Corporation (TPEC)</p>

M.

ANNEX A

WESM Manual on Registration, Suspension and De-registration Criteria and Procedures Issue 4.0				
Title	Section	Provision	Proposed Amendment	Rationale
Aggregation of Generating Units	2.5.4.2	A <i>Generation Company</i> that owns multiple <i>generating units</i> located in a single generating station shall, upon application, inform the <i>Market Operator</i> if it wishes to have an aggregated representation for such <i>generating units</i> in the <i>WESM Market Network Model</i> . The <i>Applicant</i> and the <i>Market Operator</i> shall agree on the manner of aggregated representation in accordance with the procedures set forth in relevant market manuals.	A <i>Generation Company</i> that owns multiple <i>generating units</i> located in a single generating station shall, upon application, inform the <i>Market Operator</i> if it wishes to have an aggregated representation for such <i>generating units</i> in the <i>WESM Market Network Model</i> . The <i>Applicant</i> , <u>the <i>Network Service Provider</i>, <i>Metering Services Provider</i></u> , and the <i>Market Operator</i> shall agree on the manner of aggregated representation in accordance with the procedures set forth in relevant market manuals.	It is proposed that the NSP and MSP be included in the discussions for the aggregated representation of generating units to ensure that the infrastructure (e.g., RTU, meters) to support the aggregated representation are considered in the discussions.
Generation Registered Capacities	2.5.4.4	A <i>Generation Company</i> shall include in its application the <i>maximum stable load (Pmax)</i> , the <i>minimum stable load (Pmin)</i> , the <i>ramp up rate</i> , and the <i>ramp down rate</i> of each <i>generation unit</i> or aggregated <i>generation units</i> that are included in its application. The information provided to the <i>Market Operator</i> must be consistent with the information contained in the <i>Certificate of Compliance</i> issued by the <i>ERC</i> as well as submissions made to the <i>ERC</i> in relation to the	A <i>Generation Company</i> shall include in its application the <i>maximum stable load (Pmax)</i> , the <i>minimum stable load (Pmin)</i> , the <i>ramp up rate</i> , and the <i>ramp down rate</i> of each <i>generation unit</i> or aggregated <i>generation units</i> that are included in its application. The information provided to the <i>Market Operator</i> must be consistent with the information contained in the <i>Certificate of Compliance</i> <u>or, in the absence thereof, a certification indicating the registered capacities and/or</u>	<ul style="list-style-type: none"> To include ERC-issued certifications other than COCs as valid reference of the Market Operator for registering Trading Participants' registered capacities and ramp rates for its new facilities. <p><i>[Article III Section 2 of ERC Resolution No. 16 Series of 2014 (2014 Revised Rules for the Issuance of Certificates</i></p>

ANNEX A

WESM Manual on Registration, Suspension and De-registration Criteria and Procedures Issue 4.0				
Title	Section	Provision	Proposed Amendment	Rationale
		issuance of its <i>Certificate of Compliance</i> .	<u>ramp rates of the generating unit</u> issued by the <i>ERC</i> as well as submissions made to the <i>ERC</i> in relation to the issuance of its <i>Certificate of Compliance</i> . <u>The Generation Company shall also include information on the location of the real-time monitoring facility where the Pmin, Pmax, and ramp up/down rates were certified against (i.e., gross generation, or net of station use). Should the location represent the gross generation output of the generating system, the Generation Company shall comply with the procedures provided for under Clause 2.5.4.5 of this Market Manual.</u>	<p><i>of Compliance</i>) requires generation companies to provide technical specifications (e.g., Pmax, Pmin, Ramp Up Rate, Ramp Down Rate) of its new generation facilities in filing an application for COC. The technical specifications annex of the COC is the basis of the Market Operator for the registered capacities in the WESM.]</p> <ul style="list-style-type: none"> To require Generation Companies to provide information to the Market Operator that will serve as basis of the MO on where to monitor offer capacity compliance and dispatch target compliance. It should be noted that their registered capacities should be consistent with their offers, RTD schedules, and actual dispatch.

ANNEX A

WESM Manual on Registration, Suspension and De-registration Criteria and Procedures Issue 4.0				
Title	Section	Provision	Proposed Amendment	Rationale
REGISTRATION OF DIRECT WESM MEMBERS AND TRADING PARTICIPANTS - Other Considerations	<u>2.5.4.5</u> (new)	N / A	<u>2.5.4.5 Modelling of Station Use of Generating Units</u> <u>A Generation Company shall inform the Network Service Provider and the Market Operator of the provisions of its station use so that it may be registered and considered in the WESM Market Network Model.</u>	To ensure that all loads are accounted for in the scheduling process, station use and house loads of generating units should be reported to the Market Operator. This is especially important if the registered capacity of a generating unit does not take into account its station use and house load.
REGISTRATION OF DIRECT WESM MEMBERS AND TRADING PARTICIPANTS - Other Considerations	<u>2.5.4.6</u> (new)	N / A	<u>2.5.4.6 Modelling of Generating Units of Ancillary Service Providers</u> <u>If a Generation Company is registering as an Ancillary Service Provider and the generating units that will provide ancillary services are already represented in the Market Network Model, the Generation Company shall ensure that the generating units are represented in accordance with the requirements of the System Operator. If the representation of the generating units does not comply with the requirements of the System</u>	To clarify that aggregation or disaggregation of the representation of a registered generating system may be necessary upon its registration as an ancillary services provider to be consistent with the requirements of the System Operator.

ANNEX A

WESM Manual on Registration, Suspension and De-registration Criteria and Procedures Issue 4.0				
Title	Section	Provision	Proposed Amendment	Rationale
			<u>Operator, the Generation Company shall initiate changes in the representation of the generating units in accordance with Section 3.3.3.</u>	
Assessment of Applications - Submission and Preliminary Assessment of Applications	2.5.5.1(b)	Within five (5) business days from receipt of application, the <i>Market Operator</i> shall advise the <i>Applicant</i> of any lacking requirement as well as additional information required to enable the proper assessment of the application. As provided for in <i>WESM Rules</i> clause 2.5.3.2, if the <i>Market Operator</i> has not received the lacking requirement or additional information that it requires within the next fifteen business days, it may treat the application as withdrawn. The <i>Market Operator</i> shall notify the applicant in writing of the abandonment of the application.	Within five (5) business <u>working</u> days from receipt of application, the <i>Market Operator</i> shall advise the <i>Applicant</i> of any lacking requirement as well as additional information required to enable the proper assessment of the application. As provided for in <i>WESM Rules</i> clause 2.5.3.2, if the <i>Market Operator</i> has not received the lacking requirement or additional information that it requires within the next fifteen business <u>working</u> days, it may treat the application as withdrawn. The <i>Market Operator</i> shall notify the applicant in writing of the abandonment of the application.	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
Approval of Applications - Notice of Approval	2.5.6.2(a)	For approved applications, the <i>Market Operator</i> shall send a notice of approval to the <i>Applicant</i> within fifteen (15) days from completed submission by the applicant of the required application forms and supporting documents and information. The failure of the	For approved applications, the <i>Market Operator</i> shall send a notice of approval to the <i>Applicant</i> within fifteen (15) <u>working</u> days from completed submission by the applicant of the required application forms and supporting documents and information. The	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes

ANNEX A

WESM Manual on Registration, Suspension and De-registration Criteria and Procedures Issue 4.0				
Title	Section	Provision	Proposed Amendment	Rationale
		<i>Market Operator</i> to act on the application within this period shall not be deemed an approval of the application.	failure of the <i>Market Operator</i> to act on the application within this period shall not be deemed an approval of the application.	instead of business days of the spot market (24/7).
Approval of Applications - Notice of Approval	2.5.6.2(b)	The registration of the <i>Applicant</i> shall take effect on the date specified in the notice of approval which shall be a date not more than seven (7) days after the <i>Market Operator</i> sends the notice of approval or on the date when the Applicant complies with all the requirements provided under Clause 5.6.3 of this Manual, whichever is later. Subject to concurrence by the <i>Market Operator</i> , the effective date may be set on another date requested by the <i>Applicant</i> .	The registration of the <i>Applicant</i> shall take effect on the date specified in the notice of approval which shall be a date not more than seven (7) <i>working</i> days after the <i>Market Operator</i> sends the notice of approval or on the date when the Applicant complies with all the requirements provided under Clause 5.6.3 of this Manual, whichever is later. Subject to concurrence by the <i>Market Operator</i> , the effective date may be set on another date requested by the <i>Applicant</i> .	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
Other Requirements for Approved Applications	2.5.6.3(b)	Participant Interface Access. The <i>Applicant</i> shall subscribe to and allow relevant digital certificates issued by the <i>Market Operator</i> to be installed in its computers in order for it to be permitted access to the <i>WESM Market Management System</i> .	Participant Interface Access. The <i>Applicant</i> shall subscribe to and allow relevant digital certificates issued by the <i>Market Operator</i> to be installed <i>apply or install a method employing encryption</i> in its computers <i>to provide secure</i> in order for it to be permitted access to the <i>WESM Market Management System</i> .	It is proposed that data security methods in the Market Manual be generalized to cover the new methods that will be used in the systems for the implementation of the enhanced WESM design provided for under DOE DC2015-10-0015.

ANNEX A

WESM Manual on Registration, Suspension and De-registration Criteria and Procedures Issue 4.0				
Title	Section	Provision	Proposed Amendment	Rationale
Non-Approval of Applications/Remedies	2.5.7(a)	If an application is not approved, the <i>Market Operator</i> shall send within fifteen (15) <i>business days</i> from completion of application requirements a written notice to the <i>Applicant</i> advising the latter that its application has been disapproved and the reason for the same.	If an application is not approved, the <i>Market Operator</i> shall send within fifteen (15) <i>business</i> <i>working</i> days from completion of application requirements a written notice to the <i>Applicant</i> advising the latter that its application has been disapproved and the reason for the same.	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
Non-Approval of Applications/Remedies	2.5.7(b)	The <i>ERC</i> shall be given a copy of the written notice within five (5) <i>business days</i> after its issuance to the <i>Applicant</i> .	The <i>ERC</i> shall be given a copy of the written notice within five (5) <i>business</i> <i>working</i> days after its issuance to the <i>Applicant</i> .	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
REGISTRATION OF ANCILLARY SERVICES PROVIDERS - Qualifications and Requirements	2.6.1.1(d) (new)	N / A	2.6.1.1 Persons or entities wishing to register as WESM member under this category must – xxx <u>d) Comply with the technical requirements for Ancillary Service Providers set forth in the Philippine Grid Code, in the Ancillary Services Procurement Plan (ASPP) or in any other relevant documents that the ERC or DOE will promulgate.</u>	To clarify that ASPs must comply with additional technical requirements in the PGC, those that may be imposed by the System Operator, or in any DOE or ERC-issued documents.

ANNEX A

WESM Manual on Registration, Suspension and De-registration Criteria and Procedures Issue 4.0				
Title	Section	Provision	Proposed Amendment	Rationale
REGISTRATION OF SERVICES PROVIDERS - Metering Services Provider	2.7.2.4(b)	A <i>Trading Participant</i> registered as a <i>Customer</i> as well as a <i>Network Services Provider</i> may not be registered as a Metering Services Provider in respect to any connection points that it owns.	A <i>Trading Participant</i> registered as a <i>Customer</i> as well as a <i>Network Services Provider</i> may not be registered as a Metering Services Provider in respect to any connection points that it owns. <u>If such <i>Trading Participant</i> is also a <i>Metering Services Provider</i> and there is only one <i>Metering Services Provider</i> serving <i>Trading Participants</i> connected to the <i>transmission system</i> registered with the <i>Market Operator</i>, then it shall be allowed to provide metering services on an interim basis for a <i>market trading node</i> assigned to it or a <i>connection point</i> that it owns. The <i>Trading Participant</i> shall be allowed to provide <i>metering services</i> until another <i>Metering Services Provider</i> becomes authorized by the <i>ERC</i> and is registered with the <i>Market Operator</i> upon which the <i>metering services</i> shall be transferred to another <i>Metering Services Provider</i> following the applicable procedure.</u>	Reflect WESM Rules Clause 4.4.3 amendment under DOE DC2013-07-0016.
REGISTRATION OF INTENDING WESM	2.10.2.2	Within five (5) days from receipt of the application, the <i>Market Operator</i>	Within five (5) <i>working</i> days from receipt of the application, the	Reflect WESM Rules changes under DOE

ANNEX A

WESM Manual on Registration, Suspension and De-registration Criteria and Procedures Issue 4.0				
Title	Section	Provision	Proposed Amendment	Rationale
MEMBERS - Procedures for Application		shall advise the <i>Applicant</i> if it requires submission of additional information as would enable it to properly assess the application.	<i>Market Operator</i> shall advise the <i>Applicant</i> if it requires submission of additional information as would enable it to properly assess the application.	DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
REGISTRATION OF INTENDING WESM MEMBERS - Approval/Disapproval of Application	2.10.3.2	Notice. Within fifteen (15) days from complete submission of the application and the additional information, the <i>Market Operator</i> shall issue to the <i>Applicant</i> the notice of approval or disapproval of the application. If the application is disapproved, the notice shall indicate the reasons for such disapproval. The failure of the <i>Market Operator</i> to act on the application within this period shall not be deemed as an approval of the application.	Notice. Within fifteen (15) <i>working</i> days from complete submission of the application and the additional information, the <i>Market Operator</i> shall issue to the <i>Applicant</i> the notice of approval or disapproval of the application. If the application is disapproved, the notice shall indicate the reasons for such disapproval. The failure of the <i>Market Operator</i> to act on the application within this period shall not be deemed as an approval of the application.	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
FACILITY-RELATED CHANGES – Registered Capacities	3.3.1.1	The <i>Trading Participant</i> wishing to change the registered capacities of its generating unit/s shall make a request in writing to the <i>Market Operator</i> . Such changes shall be in accordance with either the latest Certificate of Compliance (COC) issued by the ERC or a certification of generator capability test to be issued jointly by the DOE, ERC and	FACILITY-RELATED CHANGES – Registered Capacities <u>and Ramp Rates</u> The <i>Trading Participant</i> wishing to change the registered capacities <u>and/or ramp rates</u> of its generating unit/s shall make a request in writing to the <i>Market Operator</i> . Such changes shall be	To include ERC-issued certifications other than COCs as valid reference of the Market Operator for changing Trading Participants' registered capacities and ramp rates. [Article III Section 6 of ERC Resolution No. 16 Series of 2014 (2014 Revised Rules

ANNEX A

WESM Manual on Registration, Suspension and De-registration Criteria and Procedures Issue 4.0				
Title	Section	Provision	Proposed Amendment	Rationale
		SO. The conduct of testing shall be based on the internationally-accepted testing procedures as required in the COC, and the cost of testing shall be the responsibility of the applicant.	in accordance with either the latest Certificate of Compliance (COC) or, a certification of generator capability test to be issued jointly by the DOE, ERC and SO. The conduct of testing shall be based on the internationally-accepted testing procedures as required in the COC, and the cost of testing shall be the responsibility of the applicant. <u>in the absence thereof, a certification issued by the ERC indicating the new registered capacities and/or ramp rates of the generating unit in the WESM.</u>	<i>for the Issuance of Certificates of Compliance) requires generation companies which intend to amend the technical specifications (e.g., Pmax, Pmin, Ramp Up Rate, Ramp Down Rate) of its generation facilities to file an application for the amendment of the COC. The technical specifications annex of the COC is the basis of the Market Operator for the registered capacities in the WESM.]</i>
FACILITY-RELATED CHANGES – Registered Capacities	3.3.1.2	Changes shall be approved by the <i>Market Operator</i> and confirmed by the PEM Board.	Changes <u>submitted by the Trading Participant in the registered capacities and/or ramp rates of its generating units</u> shall be approved <u>accepted</u> by the <i>Market Operator</i> and confirmed by the PEM Board. <u>based on the Certificate of Compliance (COC) or, in the absence thereof, a certification issued by the ERC indicating the new registered capacities and/or ramp rates of the generating unit</u>	Since the ERC performs the inspection and validation of the technical capabilities of a generation facility, it is proposed that the Market Operator will only confirm the request from the trading participant based on the submitted supporting document.

ANNEX A

WESM Manual on Registration, Suspension and De-registration Criteria and Procedures Issue 4.0				
Title	Section	Provision	Proposed Amendment	Rationale
FACILITY-RELATED CHANGES – Registered Capacities	3.3.1.3 (new)	N / A	<u>The Market Operator shall notify the PEM Board, ERC and DOE of any changes in the registered capacities of generating units in the WESM.</u>	It is proposed that other relevant agencies be informed of the change in registration capacities to ensure consistency of capacities.
FACILITY-RELATED CHANGES - Re-classification of generation units	3.3.2.3	The <i>Market Operator</i> shall send a written notice to the <i>WESM member</i> of the approval or disapproval of the reclassification. If approved, the reclassification shall be effective on the date stated in the notice of approval but not earlier than seven (7) days from issuance of the notice of approval by the <i>Market Operator</i> .	The <i>Market Operator</i> shall send a written notice to the <i>WESM member</i> of the approval or disapproval of the reclassification. If approved, the reclassification shall be effective on the date stated in the notice of approval but not earlier than seven (7) <i>working</i> days from issuance of the notice of approval by the <i>Market Operator</i> .	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
FACILITY-RELATED CHANGES - Retirement of Facilities	3.3.4.1	The <i>Trading Participant</i> whose facilities will be retired, mothballed, or otherwise will cease operations shall de-register said facilities by written notice to the <i>Market Operator</i> no later than eight (8) days prior to the date such facilities will cease operations.	The <i>Trading Participant</i> whose facilities will be retired, mothballed, or otherwise will cease operations shall de-register said facilities by written notice to the <i>Market Operator</i> no later than eight (8) <i>working</i> days prior to the date such facilities will cease operations.	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
FACILITY-RELATED CHANGES - Transfer of Registration of Facilities	3.3.5.4	The parties to the transfer shall submit a copy of the assignment or equivalent agreement to the <i>Market Operator</i> , together with the	The parties to the transfer shall submit a copy of the assignment or equivalent agreement to the <i>Market Operator</i> , together with the	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference

ANNEX A

WESM Manual on Registration, Suspension and De-registration Criteria and Procedures Issue 4.0				
Title	Section	Provision	Proposed Amendment	Rationale
		respective amended registration if applicable. If the transferee is not yet registered as a <i>WESM member</i> , the transfer shall become effective on the date of approval of the registration of the latter as a <i>WESM member</i> . If the transferee is already registered as a <i>WESM member</i> , the transfer shall be effective on the date to be notified by the <i>Market Operator</i> which shall not be earlier than seven (7) days from receipt by the <i>Market Operator</i> of the amended registration from both the transferor and the transferee.	respective amended registration if applicable. If the transferee is not yet registered as a <i>WESM member</i> , the transfer shall become effective on the date of approval of the registration of the latter as a <i>WESM member</i> . If the transferee is already registered as a <i>WESM member</i> , the transfer shall be effective on the date to be notified by the <i>Market Operator</i> which shall not be earlier than seven (7) <u>working</u> days from receipt by the <i>Market Operator</i> of the amended registration from both the transferor and the transferee.	for market operator registration processes instead of business days of the spot market (24/7).
FACILITY-RELATED CHANGES	<u>3.3.6</u> (new)	N / A	<u>3.3.6</u> FACILITY-RELATED CHANGES – <u>New Facility</u>	To include in the Market Operator's procedures the addition of a facility by a registered generation company
FACILITY-RELATED CHANGES	<u>3.3.6.1</u> (new)	N / A	<u>A registered generation company that has a new generating system and intends to trade the capacity of the new generating system through a separate market trading node shall register that generating system as an additional facility.</u>	To clarify that only facilities that will be traded separately from existing facilities of the registered generation company will be required to go through this procedure. If the additional facility will be traded with the existing

ANNEX A

WESM Manual on Registration, Suspension and De-registration Criteria and Procedures Issue 4.0				
Title	Section	Provision	Proposed Amendment	Rationale
				facilities then a change in registered capacity would be required.
FACILITY-RELATED CHANGES	<u>3.3.6.2</u> (new)	N / A	<u>The registered generation company shall comply with the technical and commercial requirements under Section 2.5.3 and the procedures under Section 2.5.4 for its additional facility.</u>	To clarify that the same technical and commercial requirements will be required for the additional facility.
FACILITY-RELATED CHANGES	<u>3.3.6.3</u> (new)	N / A	<u>The Market Operator shall assess and approve the request for the registration of an additional facility in accordance with the procedures under Sections 2.5.5, 2.5.6, and 2.5.7.</u>	To clarify that the MO will assess the registration of an additional facility based on procedures for assessing the application of new generation companies.
PROCEDURES FOR DEREGISTRATION - Issuance of Notices of De-Registration and Disconnection	5.4.2.1	Within five (5) days from receipt or submission of the document/s specified in the foregoing section, the <i>Market Operator</i> shall issue a Deregistration Notice to the <i>WESM Member</i> or the <i>Metering Services Provider</i> sought to be deregistered. The Deregistration Notice shall be in writing and shall include the ground/s for deregistration; date of effectivity of the deregistration; and notice that the WESM Member subject to deregistration shall still be liable for its outstanding obligations to the WESM.	Within five (5) <i>working</i> days from receipt or submission of the document/s specified in the foregoing section, the <i>Market Operator</i> shall issue a Deregistration Notice to the <i>WESM Member</i> or the <i>Metering Services Provider</i> sought to be deregistered. The Deregistration Notice shall be in writing and shall include the ground/s for deregistration; date of effectivity of the deregistration; and notice that the WESM Member subject to deregistration shall still be liable for	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).

ANNEX A

WESM Manual on Registration, Suspension and De-registration Criteria and Procedures Issue 4.0				
Title	Section	Provision	Proposed Amendment	Rationale
			its outstanding obligations to the WESM.	