

## COMPLIANCE COMMITTEE PLANNING SESSION (2023 WORK PLAN)

Legend:  Submission/Completion of Report  
 Regular Activity

	ACTIVITIES	OUTPUTS	2023			
			Q1	Q2	Q3	Q4
COMPETITION						
1	Development of ACB Monitoring Framework and Parameters	Participation and input to the ACB Monitoring Framework and Parameter				
2	Oversee the implementation of the WESM Compliance Officers (WCO) Certification and Registration Implementing Guidelines	Inputs on the proposed changes to the WCO Certification Guidelines and WCO Certification Program, as necessary				
		Approval and Conferment of Certified WCOs attaining the 36 required credit units				
		Inputs to the Proposed WCO Annual Report Monitoring Guidelines				
3	ECO Investigation Case Disposition	Resolution adopting or recommending actions on investigation cases				
4	Study on the Look-Ahead Offers Monitoring	Inputs to the proposed penalty mechanism guidelines/framework				
5	Study relating to the enforcement of rules governing the Expired testing and commissioning of plants and T&C Plants with Issued FCATCs but awaiting COCs	Inputs to the proposed penalty mechanism guidelines/framework				
6	Study on the Governance of the Metering Service Providers (MSPs)	Inputs to the proposed measures and guidelines for the monitoring of the MSPs				

	ACTIVITIES	OUTPUTS	2023			
			Q1	Q2	Q3	Q4
7	Study on the Reserve Conformance Standards (RCS)	Inputs to the proposed measures and guidelines for the monitoring of the ASPs				
8	Study on the Battery Energy Storage Systems (BESS), including Plants with Hybrid Systems	Inputs to the proposed measures and guidelines for the monitoring of the BESS and Hybrid Systems				
9	WCO Summit 2023	Participation and input to the WCO Summit plan and activities				
10	Oversight on the monitoring of Offered Capacity Compliance (OCC) and Dispatch Conformance Standards (DCS)	<ul style="list-style-type: none"> <li>Inputs/Comments/Advice on CMA results, if any.</li> <li>Decision on appeals relating to CMA results, if any.</li> </ul>				
11	Oversight on the monitoring of the Forecast Accuracy Standard (FAS)	<ul style="list-style-type: none"> <li>Inputs/Comments/Advice on the ECO Report on FAS results, if any.</li> </ul>				
12	Review of the ECO Investigation Reports	<ul style="list-style-type: none"> <li>Resolution adopting or recommending actions on investigation cases</li> </ul>				
<b>EMPOWERED GOVERNANCE</b>						
13	Regular conduct of CC Meetings (every 3 <sup>rd</sup> Wednesday of the month)	Attendance to Compliance Committee Meetings				
14	Compliance Committee 2022 Annual Report	CC Annual Report 2022				
15	Compliance Committee 2023 Work Plan	2023 CC Work Plan submitted to the PEM Board by March 2023				
16	Review of the CC internal rules and procedures	Inputs and proposed changes to the CC Internal Rules and Procedures, as necessary				

	ACTIVITIES	OUTPUTS	2023			
			Q1	Q2	Q3	Q4
17	Proposed amendments to the RCC as a result of compliance monitoring or best practice recommendations	Proposed amendments, as necessary. Maximum Available Capacity				
18	Compliance Committee 2024 Work Plan	Inputs and proposal to the CC 2024 Work Plan				
19	Attendance in PEMC Membership meetings, as scheduled	<ul style="list-style-type: none"> <li>Attendance in PEMC Membership meetings</li> <li>Presentation to the PEM Board</li> </ul>				
20	Review of relevant proposed amendments to WESM Rules and various market manuals	Comments to proposed amendments to WESM Rules and various Manuals <i>if any</i>				