



WESM-WM-(Manual)-CN - \_\_\_\_\_

**REQUEST FOR AMENDMENTS OR CHANGES TO THE WESM MANUALS**

Proposals made only under this prescribed form shall be accepted and considered as submitted:

This request for amendments to the WESM Rules can be submitted to:

**PEM Board**

Attention: **PEM Committee Secretariat**  
Philippine Electricity Market Corporation  
18/F Robinsons Equitable Tower  
ADB Avenue, Ortigas Center  
Pasig City, 1605 Philippines  
Email address: rcc@wesm.ph  
Fax Number: (+632) 395-2704

**I. Proponent's Information**

Name	Francis Saturnino C. Juan
Designation	President and CEO
Company	Independent Electricity Market Operator of the Philippines, Inc.
Company Address	19/F Robinsons Equitable Tower ADB Ave. Ortigas Center Pasig City
Telephone No.	02 318 9376
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**II. WESM Manual Amendments Information**

Title of WESM Manual being commented:  
Registration, Suspension, and De-registration Criteria and Procedures Issue 4.0

Nature of Request (please indicate with x)

☐ Addition ☒ Alteration ☐ Deletion ☒ Clarification ☐ Clerical Correction

### III. Proposed Amendment

Title	Section	Provision	Proposed Amendment	Rationale
Assessment of Applications - Submission and Preliminary Assessment of Applications	2.5.5.1(b)	Within five (5) business days from receipt of application, the <i>Market Operator</i> shall advise the <i>Applicant</i> of any lacking requirement as well as additional information required to enable the proper assessment of the application. As provided for in <i>WESM Rules</i> clause 2.5.3.2, if the <i>Market Operator</i> has not received the lacking requirement or additional information that it requires within the next fifteen business days, it may treat the application as withdrawn. The <i>Market Operator</i> shall notify the applicant in writing of the abandonment of the application.	Within five (5) <del>business working</del> days from receipt of application, the <i>Market Operator</i> shall advise the <i>Applicant</i> of any lacking requirement as well as additional information required to enable the proper assessment of the application. As provided for in <i>WESM Rules</i> clause 2.5.3.2, if the <i>Market Operator</i> has not received the lacking requirement or additional information that it requires within the next fifteen <del>business</del> <i>working days</i> , it may treat the application as withdrawn. The <i>Market Operator</i> shall <del>notify</del> the applicant in writing of the abandonment of the application.	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
Approval of Applications - Notice of Approval	2.5.6.2(a)	For approved applications, the <i>Market Operator</i> shall send a notice of approval to the <i>Applicant</i> within fifteen (15) days from completed submission by the applicant of the required application forms and supporting documents and information. The failure of the <i>Market Operator</i> to act on the application within this period shall not be deemed an approval of the application.	For approved applications, the <i>Market Operator</i> shall send a notice of approval to the <i>Applicant</i> within fifteen (15) <i>working days</i> from completed submission by the applicant of the required application forms and supporting documents and information. The failure of the <i>Market Operator</i> to act on the application within this period shall not be deemed an approval of the application.	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
Approval of Applications -	2.5.6.2(b)	The registration of the <i>Applicant</i> shall take effect on the date specified in the	The registration of the <i>Applicant</i> shall take effect on the date specified in the	Reflect WESM Rules changes under DOE DC2013-03-0005 on

Title	Section	Provision	Proposed Amendment	Rationale
Notice of Approval		notice of approval which shall be a date not more than seven (7) days after the <i>Market Operator</i> sends the notice of approval or on the date when the <i>Applicant</i> complies with all the requirements provided under Clause 5.6.3 of this Manual, whichever is later. Subject to concurrence by the <i>Market Operator</i> , the effective date may be set on another date requested by the <i>Applicant</i> .	notice of approval which shall be a date not more than seven (7) <b>working days</b> after the <i>Market Operator</i> sends the notice of approval or on the date when the <i>Applicant</i> complies with all the requirements provided under Clause 5.6.3 of this Manual, whichever is later. Subject to concurrence by the <i>Market Operator</i> , the effective date may be set on another date requested by the <i>Applicant</i> .	the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
Other Requirements for Approved Applications	2.5.6.3(b)	Participant Interface Access. The <i>Applicant</i> shall subscribe to and allow relevant digital certificates issued by the <i>Market Operator</i> to be installed in its computers in order for it to be permitted access to the <i>WESM Market Management System</i> .	Participant Interface Access. The <i>Applicant</i> shall subscribe to and allow relevant digital certificates issued by the <i>Market Operator</i> to be installed <b>apply or install a method employing encryption</b> in its computers <b>to provide secure in order for it to be permitted access to the <i>WESM Market Management System</i>.</b>	It is proposed that data security methods in the Market Manual be generalized to cover the new methods that will be used in the systems for the implementation of the enhanced WESM design provided for under DOE DC2015-10-0015.
Non-Approval of Applications/Re-medies	2.5.7(a)	If an application is not approved, the <i>Market Operator</i> shall send within fifteen (15) <i>business days</i> from completion of application requirements a written notice to the <i>Applicant</i> advising the latter that its application has been disapproved and the reason for the same.	If an application is not approved, the <i>Market Operator</i> shall send within fifteen (15) <b>business working days</b> from completion of application requirements a written notice to the <i>Applicant</i> advising the latter that its application has been disapproved and the reason for the same.	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
Non-Approval of Applications/Re	2.5.7(b)	The <i>ERC</i> shall be given a copy of the written notice within five (5) <i>business days</i> after its issuance to the <i>Applicant</i> .	The <i>ERC</i> shall be given a copy of the written notice within five (5) <b>working business days</b> after its issuance to the	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as

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medies			Applicant.	reference for market operator registration processes instead of business days of the spot market (24/7).
REGISTRATIO N OF SERVICES PROVIDERS - Metering Services Provider	2.7.2.4(b)	A Trading Participant registered as a Customer as well as a Network Services Provider may not be registered as a Metering Services Provider in respect to any connection points that it owns.	A Trading Participant registered as a Customer as well as a Network Services Provider may not be registered as a Metering Services Provider in respect to any connection points that it owns; <u>provided that, if such Trading Participant is also a Metering Services Provider and there is only one Metering Services Provider serving Trading Participants connected to the transmission system registered with the Market Operator then it shall be allowed to provide metering services on an interim basis for a market trading node assigned to it or a connection point that it owns until another Metering Services Provider becomes authorized by the ERC and is registered with the Market Operator upon which the metering services shall be transferred to another Metering Services Provider following the applicable procedure.</u>	Reflect WESM Rules Clause 4.4.3 amendment under DOE DC2013-07-0016.
REGISTRATIO N OF	2.10.2.2	Within five (5) days from receipt of the application, the Market Operator shall	Within five (5) <u>working days</u> from receipt of the application, the Market	Reflect WESM Rules changes under DOE DC2013-03-0005 on

<b>Title</b>	<b>Section</b>	<b>Provision</b>	<b>Proposed Amendment</b>	<b>Rationale</b>
INTENDING WESM MEMBERS - Procedures for Application		advise the <i>Applicant</i> if it requires submission of additional information as would enable it to properly assess the application.	<i>Operator</i> shall advise the <i>Applicant</i> if it requires submission of additional information as would enable it to properly assess the application.	the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
REGISTRATION OF INTENDING WESM MEMBERS - Approval/Disapproval of Application	2.10.3.2	Notice. Within fifteen (15) days from complete submission of the application and the additional information, the <i>Market Operator</i> shall issue to the <i>Applicant</i> the notice of approval or disapproval. If the application is disapproved, the notice shall indicate the reasons for such disapproval. The failure of the <i>Market Operator</i> to act on the application within this period shall not be deemed as an approval of the application.	Notice. Within fifteen (15) <u>working days</u> from complete submission of the application and the additional information, the <i>Market Operator</i> shall issue to the <i>Applicant</i> the notice of approval or disapproval of the application. If the application is disapproved, the notice shall indicate the reasons for such disapproval. The failure of the <i>Market Operator</i> to act on the application within this period shall not be deemed as an approval of the application.	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
FACILITY-RELATED CHANGES - Re-classification of generation units	3.3.2.3	The <i>Market Operator</i> shall send a written notice to the <i>WESM member</i> of the approval or disapproval of the reclassification. If approved, the reclassification shall be effective on the date stated in the notice of approval but not earlier than seven (7) days from issuance of the notice of approval by the <i>Market Operator</i> .	The <i>Market Operator</i> shall send a written notice to the <i>WESM member</i> of the approval or disapproval of the reclassification. If approved, the reclassification shall be effective on the date stated in the notice of approval but not earlier than seven (7) <u>working days</u> from issuance of the notice of approval by the <i>Market Operator</i> .	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
FACILITY-RELATED CHANGES - Retirement of	3.3.4.1	The <i>Trading Participant</i> whose facilities will be retired, mothballed, or otherwise will cease operations shall de-register said facilities by written notice to the	The <i>Trading Participant</i> whose facilities will be retired, mothballed, or otherwise will cease operations shall de-register said facilities by written notice to the	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator

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Facilities		Market Operator no later than eight (8) days prior to the date such facilities will cease operations.	Market Operator no later than eight (8) <b>working days</b> prior to the date such facilities will cease operations.	registration processes instead of business days of the spot market (24/7).
FACILITY-RELATED CHANGES - Transfer of Registration of Facilities	3.3.5.4	The parties to the transfer shall submit a copy of the assignment or equivalent agreement to the <i>Market Operator</i> , together with the respective amended registration if applicable. If the transferee is not yet registered as a <i>WESM member</i> , the transfer shall become effective on the date of approval of the registration of the latter as a <i>WESM member</i> . If the transferee is already registered as a <i>WESM member</i> , the transfer shall be effective on the date to be notified by the <i>Market Operator</i> which shall not be earlier than seven (7) days from receipt by the <i>Market Operator</i> of the amended registration from both the transferor and the transferee.	The parties to the transfer shall submit a copy of the assignment or equivalent agreement to the <i>Market Operator</i> , together with the respective amended registration if applicable. If the transferee is not yet registered as a <i>WESM member</i> , the transfer shall become effective on the date of approval of the registration of the latter as a <i>WESM member</i> . If the transferee is already registered as a <i>WESM member</i> , the transfer shall be effective on the date to be notified by the <i>Market Operator</i> which shall not be earlier than seven (7) <b>working days</b> from receipt by the <i>Market Operator</i> of the amended registration from both the transferor and the transferee.	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).
PROCEDURES FOR DEREGISTRATION - Issuance of Notices of De-Registration and Disconnection	5.4.2.1	Within five (5) days from receipt or submission of the document/s specified in the foregoing section, the <i>Market Operator</i> shall issue a Deregistration Notice to the <i>WESM Member</i> or the <i>Metering Services Provider</i> sought to be deregistered. The Deregistration Notice shall be in writing and shall include the ground/s for deregistration; date of effectivity of the deregistration;	Within five (5) <b>working days</b> from receipt or submission of the document/s specified in the foregoing section, the <i>Market Operator</i> shall issue a Deregistration Notice to the <i>WESM Member</i> or the <i>Metering Services Provider</i> sought to be deregistered. The Deregistration Notice shall be in writing and shall include the ground/s for deregistration; date of effectivity of the	Reflect WESM Rules changes under DOE DC2013-03-0005 on the use of banking days as reference for market operator registration processes instead of business days of the spot market (24/7).

Title	Section	Provision	Proposed Amendment	Rationale
		and notice that the WESM Member subject to deregistration shall still be liable for its outstanding obligations to the WESM.	deregistration; and notice that the WESM Member subject to deregistration shall still be liable for its outstanding obligations to the WESM.	

Note: For convenience, please underline and put in bold letters the proposed changes to the WESM Manual.

**IV. Proposed Scheme to Monitor the Effectiveness of the Proposed Changes to the WESM Manual**

**V. Referral**

MAG Date Received: \_\_\_\_\_

Proposed Amendment:     ☐ Urgent     ☐ Minor     ☐ General

**A. For Urgent Amendment (For the use of PEMC President only)**

Date Referred to PEMC President	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Certifies as urgent	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Convene the RCC within 48 hrs.		
Remarks:		



**B. For Minor and General Amendment (For the use of RCC only)**

Date Referred to RCC:	
Remarks:	
Action taken:	
Request for comments:	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Request written comments from: <input type="checkbox"/> DRG <input type="checkbox"/> MSC <input type="checkbox"/> PA <input type="checkbox"/> MO <input type="checkbox"/> ECO <input type="checkbox"/> RCC <input type="checkbox"/> TC <input type="checkbox"/> Other PEM Board Committees <input type="checkbox"/> Other Interested Parties
For further review of the Technical Sub-Committee:	<input type="checkbox"/> Yes Assigned to: <input type="checkbox"/> SO Sub-Committee <input type="checkbox"/> MO Sub-Committee <input type="checkbox"/> Metering Sub-Committee <input type="checkbox"/> Billing and Settlement Sub-Committee <input type="checkbox"/> Legal and Regulatory Sub-Committee <input type="checkbox"/> No
For public consultation:	<input type="checkbox"/> Yes <input type="checkbox"/> No
RCC Resolution:	<input type="checkbox"/> Approved <input type="checkbox"/> Disapproved
RCC Resolution No.:	
Date of Resolution:	
RCC Meeting No.	
Date of endorsement to the PEM Board:	