

## MONTHLY CONSOLIDATED COMPLIANCE MONITORING AND ASSESSMENT REPORT

|                                      |  |
|--------------------------------------|--|
| Covered Period                       | 2024/08/26 - 2024/09/25                |
| Total No. of Participants Monitored  | 223                                    |
| Total No. of Facilities Monitored ID | 290                                    |
| Total No. of Intervals Monitored     | OCCS:343651 DCS:4123314 Total: 4466965 |

| A. RECOMMENDATIONS AND ACTIONS REQUESTED           |           |         |                             |                          |
|--|-----------|---------|-----------------------------|--------------------------|
| Recommendations                                    | Intervals | %       | No. of Trading Participants | No. of Plants/Facilities |
| COMPLIANCE WITH THE OFFERED CAPACITY COMPLIANCE    |           |         |                             |                          |
| Justified  | 107882    | 99.3 %  | 173                         | 216                      |
| Breach   | 764       | 0.7 %   | 3                           | 4                        |
| Total  | 108646    | 100 %   | -                           | -                        |
| COMPLIANCE WITH THE DISPATCH CONFORMANCE STANDARDS |           |         |                             |                          |
| Justified  | 42979     | 99.12 % | 84                          | 106                      |
| Breach   | 380       | 0.88 %  | 5                           | 5                        |
| Total  | 43359     | 100 %   | -                           | -                        |

| B. BREACH FINDINGS  |        |         |          |             |
|---|--------|---------|----------|-------------|
| SUMMARY FINDINGS - COMPLIANCE WITH THE MUST OFFER RULE AND RTD SCHEDULE |        |         |          |             |
| Summary Findings  | Luzon  | Visayas | Mindanao | Grand Total |
| OFFERED CAPACITY COMPLIANCE   |        |         |          |             |
| Justified   | 56042  | 29997   | 21843    | 107882      |
| Not Justified   | 2      | 0       | 762      | 764         |
| Percentage  | 51.58% | 27.61%  | 20.81%   | 100%        |
| COMPLIANCE WITH THE DISPATCH CONFORMANCE STANDARDS                      |        |         |          |             |
| Justified   | 24620  | 13060   | 5299     | 42979       |
| Not Justified   | 14     | 0       | 366      | 380         |
| Percentage  | 56.81% | 30.12%  | 13.07%   | 100%        |

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| C. PENALTIES IMPOSED (based on Published reports) |       |         |              |              |
|---|-------|---------|--------------|--------------|
| Penalty   | Luzon | Visayas | Mindanao     | Grand Total  |
| OFFERED CAPACITY COMPLIANCE                       |       |         |              |              |
| Non-Compliance Letter                             | 2     | 0       | 1            | 3            |
| Level 1 Penalty Count                             | 0     | 0       | 10           | 10           |
| Level 2 Penalty Count                             | 0     | 0       | 751          | 751          |
| Penalty Amount, PhP                               | 0.00  | 0.00    | 1,512,000.00 | 1,512,000.00 |
| DISPATCH CONFORMANCE STANDARDS                    |       |         |              |              |
| Non-Compliance Letter                             | 14    | 0       | 29           | 43           |
| Level 1 Penalty Count                             | 0     | 0       | 50           | 50           |
| Level 2 Penalty Count                             | 0     | 0       | 287          | 287          |
| Penalty Amount, PhP                               | 0.00  | 0.00    | 59,307.31    | 59,307.31    |

**Gubaton Lopez Ma Hazel**  
*Enforcement and Compliance Office Head*