

PEM AUDIT COMMITTEE 2017 WORK PLAN

Item	Activity	Tentative Timetable	Deliverables
1	Submission of 2016 Annual Report	1Q 2017	Report
2	Joint Conduct of the 6 th Independent Operational Audit of the Systems and Procedures on Market Operations & 3 rd Review of Metering Installation and Arrangements	1Q 2017 to 2Q 2017	Inception Report, Progress Reports, Significant Issue Report (if applicable), and Draft Audit Reports
3	Submission of 6 th Independent Audit of the Systems, Procedures and Processes of the Market Operator & 3 rd Review of Metering Installation and Arrangements Reports	June 2017	Audit Reports & Software Certificate
4	Submission of the Proposed Rules Changes to the WESM Rules, Retail Manual and PAC Market Manual	2Q 2017	Proposed Amendments
5	Regular Conduct of Meetings	At least once a month	Resolutions/Memorandum
6	Submission of Assessment Report	Quarterly	Report
7	Conduct of other Audits as may be requested in accordance with Compliance and Enforcement Market Manual and with the directive by DOE, ERC and PEM Board	As required	Report