

MSC 2018 WORK PLAN

A. Carried-over Activities from Year 2017

Item	Activity	2018 Target	Deliverables
1.	Review of Proposed Penalty Manual ¹	Q1 2018	Submission of the final draft of the amended Penalty Manual, to the RCC
2.	Review of MSC Proposed Market Surveillance Manual and Proposed Enforcement and Compliance Manual	Q1 2018	Submission of the amended MSC Proposed Market Surveillance Manual, to the RCC
3.	Development of Frequently Asked Questions (FAQs) on the MSC activities	Q2 2018	Publication of the FAQs in the market Information website
4.	Proposed Amendments to MO Information Disclosure and Confidentiality (IDC) Manual	Q2 2018	Submission of the final proposed amendments to the MO IDC Manual, to the RCC

B. New Activities

Item	Activity	2018 Target	Deliverables
5.	Review of the MSC Internal Rules Issue 3.0	Q1 2018	Promulgation of the MSC resolution adopting the revised MSC Internal Rules Issue 4.0
6.	Conduct Research on ACB/Abuse of Market Power framework and concepts from other jurisdictions	Q4 2018	Submission of research paper to PEMC

¹ WESM Rules Clause 1.6.3; [proposed Market Surveillance Manual (MSM), Section 3.1.1 (h)]

C. Regular Reportorial Functions

Item	Activity	2018 Target	Deliverables
7.	Review of Various Proposed Amendments to the WESM Rules and Market Manuals ²	(Per RCC's timeline of publication for comments)	Submission of final comments and/or proposed recommendations on the proposed amendments to WESM Rules and Market Manuals to the RCC, as applicable
8.	Review of the following: a. Catalogue of Market Monitoring Data and Indices Issue 1.0 ³ ; and b. Catalogue of Retail Market Monitoring Data and Indices Issue 1.0 ⁴ .	Q3 2018 Q3 2018	Finalization of the proposed amendments to the (a) Catalogue of Market Monitoring Data and Indices Issue 1.0; and (b) Catalogue of Retail Market Monitoring Data and Indices Issue 1.0, as needed
9.	Review and Approval of Market Assessment Report ⁵ as prepared and submitted by MAG	Monthly/ Annual	Submission of the MSC Monthly Market Monitoring (MMR) Report/ Annual Market Assessment Report to the PEM Board ⁶
10.	Review and Approval of Retail Market Assessment Report ⁷ s as prepared and submitted by MAG	Quarterly/ Annual	Submission of the MSC quarterly Retail Market Monitoring Report (RMMR)/ annual Retail Market Assessment Report to the PEM Board, DOE and ERC ⁸

² Market Surveillance, Compliance and Enforcement Market Manual (MSCEMM), Section 6.2.1 (k); [proposed MSM, Section 3.1 (g)]

³ Catalogue of Market Monitoring Data and Indices (CMMDI), Section 1.3; [proposed MSM, Section 3.1 (a)]

⁴ Catalogue of Retail Market Monitoring Data and Indices (CRMMDI), Section 5.3; [proposed MSM, Section 3.1 (a)]

⁵ MSCEMM, Section 6.2.1 (b); [Proposed MSM, Section 3.1 (b)(i)]

⁶ MSCEMM, Section 6.2.1 (c); [Proposed MSM, Section 3.1 (c)]

⁷ CMMDI, Section 3.1.2; [proposed MSM, Section 3.1 (b)(ii)]

⁸ CMMDI, Section 3.1.3; [proposed MSM, Section 3.1 (c)]

Item	Activity	2018 Target	Deliverables
11.	Review of Monthly Monitoring Report on Over-riding Constraints ⁹	Quarterly	Submission of the quarterly Report on the MSC Review of Monthly Monitoring of Over-riding Constraints, to the PEM Board, as applicable
12.	Review of Market Intervention (MI) or Market Suspension (MS) Events ¹⁰	(Per timeline in the MSC Internal Rules)	Submission of the MSC Review Report of MI events (Market Intervention Report) or MS events (Market Suspension Report) to the PEM Board, as applicable ¹¹
13.	Review of the ECO Investigation Report (IR)	(Per timeline of submission of ECO IR to MSC)	Submission of the MSC Review Report of ECO IRs to PEM Board
14.	Review of MSC Quarterly Accomplishments Report	Within one (1) month after the applicable period	Publication of the Quarterly MSC Accomplishments Report in the market information website
15.	Review of MSC Annual Report	Q1 2018	Submission of the Annual MSC Report to the PEM Board

D. Compliance Activities

Item	Activity	2017 Target	Deliverables
16.	Review of Monthly Report on Generator Trading Participants' Compliance with the Must-Offer-Rule and RTD Schedule ¹²	Monthly	Transmittal of Requests for Investigation to the PEM Board

⁹ MSCEMM, Section 6.2.1 (i); [proposed MSM, Section 3.1 (b)(iii)]

¹⁰ WESM Rules Clause 6.9.4 & 6.9.5; MSCEMM, Section 6.2.1 (h); [proposed MSM, Section 3.1.1 (f)]

¹¹ MSCEMM, Section 6.2.1 (h); [proposed MSM, Section 3.1 (f)]

¹² Until such time that the monitoring function is taken over by ECO as part of the changes in the WESM Enforcement and Compliance Processes

Item	Activity	2017 Target	Deliverables
17.	Review of Monthly Report on Generator Trading Participants' Compliance to the Submission of Nomination of Loading Levels and Projected Output ¹³	Monthly	Transmittal of Requests for Investigation to the PEM Board

E. Other Activities

Item	Activity	2017 Target	Deliverables
18.	Participation in PEM Board Activities	(Per PEM Board's timeline)	Presentation of Reports to the Board Review Committee and the PEM Board, as applicable
19.	Participation in Market Participants' Update, Open House, etc.	(Per CPC timeline)	Participation as resource speaker, if required
20.	Conduct of Joint Studies with Other Governance Committees	As needed	Submission of joint report on specific study conducted to the PEM Board, as necessary
21.	Conduct/Participation in Plant Visits	As needed	Conduct/ participation in plant visits (arranged by the MSC, PEMC or Generators), as applicable

¹³ Until such time that the monitoring function is taken over by ECO as part of the changes in the WESM Enforcement and Compliance Structure and Processes