



Covered Period	<b>26 OCTOBER – 25 NOVEMBER 2019</b>
Total No. of Participants Monitored	123 Trading Participants
Total No. of Facilities Monitored	148 Power Plants
<b>Total No. of Intervals Monitored</b>	<b>170,448 Intervals</b>

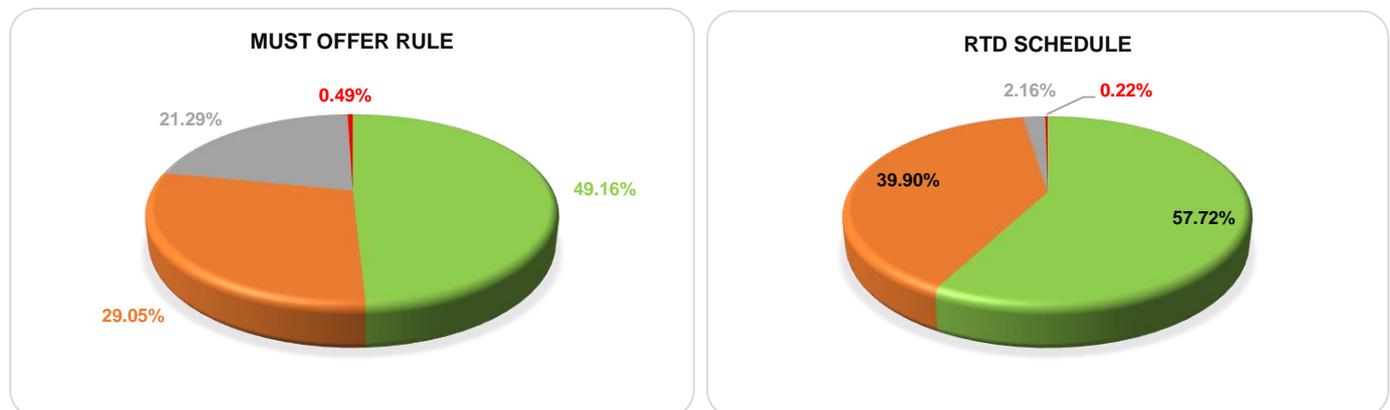
**RECOMMENDATIONS AND ACTIONS REQUESTED**

Recommendations	Intervals	%	No. of Trading Participants	No. of Plants/Facility	For Details
<b>COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION</b>					
For Closure	169,616	99.51%	123	148	<b>Annex A-1</b>
For Investigation (Issuance of RFI)	832	0.49%	7	3	<b>Annex A-2</b>
<b>Total</b>	<b>170,448</b>	<b>100.00%</b>			
<b>COMPLIANCE WITH THE RTD SCHEDULE (RTD)</b>					
For Closure	170,074	99.78%	123	148	<b>Annex B-1</b>
For Investigation (Issuance of RFI)	374	0.22%	6	6	<b>Annex B-2</b>
<b>Total</b>	<b>170,448</b>	<b>100.00%</b>			

The details and reasons for recommended closure and for issuance of RFIs are likewise found in **Annexes A-E**.

**BASIS FOR RECOMMENDATIONS**

**SUMMARY FINDINGS – COMPLIANCE WITH THE MUST OFFER RULE AND RTD SCHEDULE**



Legend: ■ Compliant ■ Initial Monitoring Justified ■ Initially Flagged NC But Justified ■ Initially Flagged NC-For Investigation

Summary Findings	Compliant	Initial Monitoring - Justified	Initially Flagged Non-Compliance But Justified (Post)	Initially Flagged Non-Compliance – FOR INVESTIGATION	Grand Total
<b>COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION</b>					
<b>Intervals, #</b>	83,799	49,523	36,294	832	170,448
<b>Percentage</b>	49.16%	29.05%	21.29%	0.49%	100.00%
<b>COMPLIANCE WITH THE RTD SCHEDULE</b>					
<b>Intervals, #</b>	98,387	68,008	3,679	374	170,448
<b>Percentage</b>	57.72%	39.90%	2.16%	0.22%	100.00%

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Enforcement and Compliance Office Head