



Covered Period	26 DECEMBER 2019 – 25 JANUARY 2020
Total No. of Participants Monitored	129 Trading Participants
Total No. of Facilities Monitored	154 Power Plants
Total No. of Intervals Monitored	177,792 Intervals

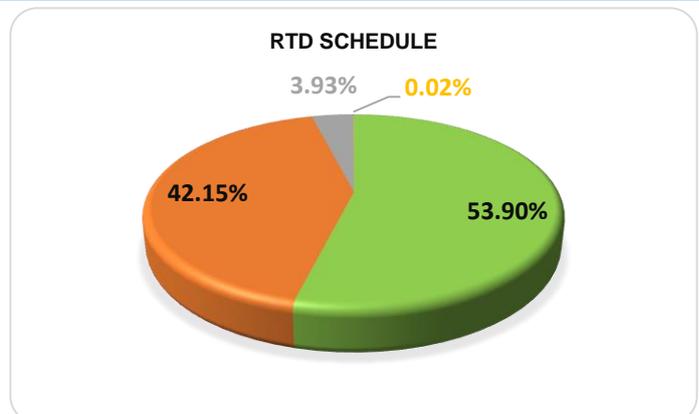
RECOMMENDATIONS AND ACTIONS REQUESTED

Recommendations	Intervals	%	No. of Trading Participants	No. of Plants/Facility	For Details
COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION					
For Closure	176,863	99.48%	129	154	Annex A-1
For Investigation (Issuance of RFI)	929	0.52%	2	2	Annex A-2
Total	177,792	100.00%			
COMPLIANCE WITH THE RTD SCHEDULE (RTD)					
For Closure	177,752	99.98%	129	154	Annex B-1
For Investigation (Issuance of RFI)	40	0.02%	7	7	Annex B-2
Total	177,792	100.00%			

The details and reasons for recommended closure and for issuance of RFIs are likewise found in **Annexes A-E**.

BASIS FOR RECOMMENDATIONS

SUMMARY FINDINGS – COMPLIANCE WITH THE MUST OFFER RULE AND RTD SCHEDULE



Legend: ■ Compliant ■ Initial Monitoring Justified ■ Initially Flagged NC But Justified ■ Initially Flagged NC-For Investigation

Summary Findings	Compliant	Initial Monitoring - Justified	Initially Flagged Non-Compliance But Justified (Post)	Initially Flagged Non-Compliance – FOR INVESTIGATION	Grand Total
COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION					
Intervals, #	80,939	56,776	39,148	929	177,792
Percentage	45.52%	31.93%	22.02%	0.52%	100.00%
COMPLIANCE WITH THE RTD SCHEDULE					
Intervals, #	95,830	74,935	6,987	40	177,792
Percentage	53.90%	42.15%	3.93%	0.02%	100.00%

MA. HAZEL M. GUBATON-LOPEZ
Enforcement and Compliance Office Head